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CURRICULUM VITA  
Stephen C. Smith

Professor of Economics and International Affairs, George Washington University  
Department of Economics, Monroe Hall 340 (2115 G St. NW)  
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Faculty Affiliate and Former Director, Institute for International Economic Policy  
Personal Website: <https://iiep.gwu.edu/about-us/iiep-faculty-staff/stephen-smith/>  
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Research Fellow, Institute of Labor Economics (IZA), Bonn, Germany  
[http://www.iza.org/en/webcontent/personnel/photos/index\\_html?key=8104](http://www.iza.org/en/webcontent/personnel/photos/index_html?key=8104)

Selected Fellowships:

UNICEF Senior Fellow, UNICEF Office of Research-Innocenti, January-June 2018  
Fellowship website: <https://www.unicef-irc.org/experts/9977-Stephen%20C.-Smith.html>

Fulbright Research Scholarship, Italy, 1989, 7 months  
Jean Monnet Research Fellowship, European University Institute, Florence, 10 months  
Fulbright Senior Specialist Grant Award, India, Fall 2012  
Visiting Fellow, Brookings Institution, January – June 2013  
Brookings Nonresident Senior Fellow, July 2013- Jan. 2016  
Fulbright Specialists Roster (5-year term from 2016, assignment location/time pending)

Education:

Ph.D., Economics, Cornell University, 1983  
M.A., Economics, Cornell University, 1981  
B.A., Liberal Arts, Goddard College, 1977

Current Service:

Member, Advisory Council, BRAC USA  
Member, Editorial Board, *Annals of Public and Cooperative Economics*  
Member, Editorial Advisory Board, *Journal of Participation and Employee Ownership*  
Member, Advisory Board, *Asian Development Perspectives*

Selected Consultancies:

World Bank Group  
International Labor Organization (ILO Office, Geneva)  
World Institute for Development Economics Research (UN-WIDER)  
United Nations Development Program (UNDP)  
United States Agency for International Development (USAID)  
Small Enterprise Assistance Fund (SEAF)

Google Scholar:

<https://scholar.google.com/citations?user=4JSzkCIAAAAJ&hl=en>

On-Site Country Research and Consulting Experience:

Developing: Bangladesh, China, Ecuador, Egypt, India, Peru, Senegal, Slovenia, Taiwan, Uganda

OECD: Italy, Germany, Spain

Books

*Economic Development*, 12<sup>th</sup> Edition, with Michael Todaro, Boston, MA: Pearson/Addison-Wesley, ISBN: 0133406784 • 9780133406788; 2014:

[http://www.pearsonhighered.com/todaro\\_smith/](http://www.pearsonhighered.com/todaro_smith/) (publisher links to 10<sup>th</sup> to 12<sup>th</sup> Editions)

Special editions published in New Delhi and Manila. Published translations include Chinese, Indonesian, Japanese, Georgian, Korean, and Serbo-Croatian

*Ending Global Poverty: A Guide to What Works*, New York: Palgrave Macmillan; Hardcover May 2005; paperback edition with *Author's Afterword*, Nov. 2008

<http://us.macmillan.com/endingglobalpoverty/StephenSmith>

*NGOs and the Millennium Development Goals: Citizen Action to Reduce Poverty*, co-edited with Jennifer Brinkerhoff and Hildy Teegen, New York: Palgrave Macmillan, June 2007: <http://www.palgraveconnect.com/pc/doi/10.1057/9780230604933>, or: <http://www.palgrave.com/page/detail/ngos-and-the-millennium-development-goals-jennifer-m-brinkerhoff/?sf1=barcode&st1=9781403979742>

*Case Studies in Economic Development*, Reading, MA: Addison-Wesley, 1997

*Labour Participatory Economy*, co-editor, with S. Mahalingham, J.E. Askildsen, and D. Vaughan-Whitehead, Mittal, 1993

*Labour Managed Market Economies*, co-editor, with S. Mahalingham, J.E. Askildsen, and D. Vaughan-Whitehead, Mittal, 1993

*Note:*

*Sections on Shorter Monographs and Reports; and on Book Chapters, are listed below*

## Journal Articles and Book Chapters

“Agricultural Extension and Technology Adoption for Food Security: Evidence from Uganda,” with Yao Pan and Munshi Sulaiman, *American Journal of Agricultural Economics*, Vol. 100, Issue 4, 1012–1031, July 2018; <https://doi.org/10.1093/ajae/aay012>; <https://academic.oup.com/ajae/advance-article/doi/10.1093/ajae/aay012/4982756?guestAccessKey=e0dcc382-906b-43cf-90bc-1c6aadecf3ee>

Research featured by USAID/Feed the Future:

<https://www.agrilinks.org/post/low-cost-improvements-through-agricultural-extension-lift-food-security-uganda>

“Nonunion Employee Representation: Theory and the German Experience with Mandated Works Councils,” with Uwe Jirjahn, *Annals of Public and Cooperative Economics*, Vol. 89, 1, pages 201-233, March 2018:

<http://onlinelibrary.wiley.com/doi/10.1111/apce.12191/full>

“Development Economics Meets the Challenges of Lagging U.S. Areas: Applications to Education, Health and Nutrition, Behavior, and Infrastructure,” in *Place-Based Policies for Shared Economic Growth*, J. Shambaugh and R. Nunn, eds., pp. 185-242, Washington: Brookings Institution, September 2018:

[https://www.brookings.edu/wp-content/uploads/2018/09/PBP\\_Smith\\_web\\_0927.pdf](https://www.brookings.edu/wp-content/uploads/2018/09/PBP_Smith_web_0927.pdf)

Event:

[http://www.hamiltonproject.org/events/place\\_based\\_policies\\_for\\_shared\\_economic\\_growth](http://www.hamiltonproject.org/events/place_based_policies_for_shared_economic_growth)

“Can Educational Campaigns Promote Successful Adaptation to Climate Change? Evidence from a Heat Wave Awareness Program in Odisha,” with Saudamini Das, in *Global Change, Ecosystems, Sustainability: Theory, Methods, Practice*, Chapter 13, Edited by Pranab Mukhopadhyay, Nandan Nawn and Kalyan Das. New Delhi: Sage, September 2017

“Do Foreign Owners Favor Short-Term Profit? Evidence from Germany,” with Verena Dill and Uwe Jirjahn, *Cambridge Journal of Economics*, 40, 123–140, 2016:

<http://cje.oxfordjournals.org/content/40/1/123.full.pdf?keytype=ref&ijkey=iFSKz5vu0rGNVZW>; Also available as IZA Discussion Paper 8165 at: <http://ftp.iza.org/dp8165.pdf>

“The Two Fragilities: Vulnerability to Conflict, Environmental Stress, and their Interactions as Challenges to Ending Poverty,” in *The Last Mile in Ending Extreme Poverty*, edited by Laurence Chandy, Hiroshi Kato, and Homi Kharas; Washington, DC: Brookings Institution Press, 2015; Chapter 11, Pages 328-368; Post-listing as IIEP WP 2016-1; publication URL at:

<https://www.brookings.edu/wp-content/uploads/2016/07/Chapter-11.pdf>

“Person Equivalent Headcount Measures of Poverty,” with James E. Foster and Tony Castleman, in *Inequality and Growth: Patterns and Policy*, edited by Kaushik Basu and Joseph Stiglitz, Palgrave MacMillan, 2016, Chapter 3, pages 101-127:  
[https://link.springer.com/chapter/10.1057/9781137554543\\_3](https://link.springer.com/chapter/10.1057/9781137554543_3)  
Also available as IZA Discussion Paper 9402: <http://ftp.iza.org/dp9402.pdf>.  
Featured in the IMF-World Bank *2015 Global Monitoring Report*:  
<http://pubdocs.worldbank.org/pubdocs/publicdoc/2015/10/503001444058224597/Global-Monitoring-Report-2015.pdf>

“Governance Problems and Priorities for Local Climate Adaptation and Poverty Alleviation,” in *The Political Economy of Good Governance*, pages 111-130; edited by Sisay Asefa and Weichiao Huang, Kalamazoo, MI: W.E. Upjohn Institute for Employment Research, ISBN 978-0-88099-497-2, June 2015

“Assessing the Frontiers of Ultra-Poverty Reduction: Evidence from CFPR/TUP, an Innovative Program in Bangladesh,” with M. Shahe Emran and Virginia Robano, *Economic Development and Cultural Change*, 62 (2), 339-380, 2014  
JSTOR: <http://www.jstor.org/stable/10.1086/674110>

“Education and Freedom of Choice: Evidence from Arranged Marriages in Vietnam,” with M. Shahe Emran and Fenohasina Maret-Rakotondrazaka, *Journal of Development Studies*, Vol 50, No. 4, pages 481-501, 2014; online version at:  
<http://www.tandfonline.com/doi/full/10.1080/00220388.2013.841884>  
Also available as IZA Discussion Paper 6862 at: <http://ftp.iza.org/dp6862.pdf>

“Regional Agricultural Endowments and Shifts of Poverty Trap Equilibria: Evidence from Ethiopian Panel Data,” with Sungil Kwak, *Journal of Development Studies*, 49, 7, 955-975, 2013:  
<http://www.tandfonline.com/doi/full/10.1080/00220388.2013.785523#.U2APVuZdV8s>

“Awareness as an Adaptation Strategy for Reducing Mortality from Heat Waves: Evidence from a Disaster Risk Management Program in India,” with Saudamini Das, *Climate Change Economics*, Volume 3, No. 2, 2012:  
<http://www.worldscientific.com/toc/cce/03/02>  
IIEP Paper: [http://www.gwu.edu/~iiep/assets/docs/papers/Smith\\_IIEPWP2012-06.pdf](http://www.gwu.edu/~iiep/assets/docs/papers/Smith_IIEPWP2012-06.pdf)

“Adaptation to Climate Change in Low-Income Countries: Lessons from Current Research and Needs from Future Research,” with Arun Malik, *Climate Change Economics*, Volume 3, No. 2, 2012, <http://www.worldscientific.com/toc/cce/03/02>. IIEP Working Paper:  
[http://www.gwu.edu/~iiep/assets/docs/papers/Smith\\_Malik\\_IIEPWP2012-08.pdf](http://www.gwu.edu/~iiep/assets/docs/papers/Smith_Malik_IIEPWP2012-08.pdf)

“The Scope of Nongovernmental Organizations and Development Program Design: Application to Problems of Multidimensional Poverty,” *Public Administration and Development*, Volume 32, Issue 4-5, 357-370, 2012:  
<https://onlinelibrary.wiley.com/doi/pdf/10.1002/pad.1635>

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“Endogenous Formation of Coops and Cooperative Leagues,” with Sumit Joshi, *Journal of Economic Behavior and Organization* 68, 217–233, 2008

“Organizational Comparative Advantages of NGOs in Eradicating Extreme Poverty and Hunger: Strategy for Escape from Poverty Traps,” in *NGOs and the Millennium Development Goals: Citizen Action to Reduce Poverty*, Jennifer Brinkerhoff, Stephen C. Smith and Hildy Teegen, editors. New York: Palgrave Macmillan, 2007, pages 121-148

“Beyond the 'Non': The Strategic Space for NGOs in Development,” with J. Brinkerhoff and H. Teegen, in *NGOs and the Millennium Development Goals: Citizen Action to Reduce Poverty*, Jennifer Brinkerhoff, Stephen C. Smith and Hildy Teegen, eds. New York: Palgrave Macmillan, 2007, pages 53-80

"Network Externalities and Co-operative Networks: Stylized Facts and Theory," chapter 7 of *Ownership and Governance of Enterprises*, a UNU - World Institute of Development Economics Research (WIDER) volume edited by Laixiang Sun, Basingstoke, Hampshire, England: Palgrave Macmillan, 2003, pages 181-201

"Network Externalities and Co-operative Networks: A Comparative Case Study of Mondragon and La Lega, with Implications for Developing and Transition Countries," chapter 8 of *Ownership and Governance of Enterprises*, a UNU - World Institute of Development Economics Research (WIDER) volume edited by Laixiang Sun, Basingstoke, Hampshire, England: Palgrave Macmillan, 2003, pp. 202-241

"What Factors Lead Management to Support or Oppose Employee Participation- with and without Works Councils? Hypotheses and Evidence from Germany," with Uwe Jirjahn, *Industrial Relations*, 45, 4, 650-680, Oct. 2006

"Works Councils and Environmental Investment: Theory and Evidence from German Panel Data," with Jan Erik Askildsen and Uwe Jirjahn, *Journal of Economic Behavior and Organization* Volume 60, Issue 3, 346-372, 2006

"Employee Participation Rights in Corporate Governance: Economic Rationale, a Test of a Leading Theory, and Some Modest Policy Proposals," *Advances in the Economic Analysis of Participatory and Labor Managed Firms*, Elsevier, Vol. 9, pp. 105-146, 2006

"New Issues in Emerging Markets: Determinants, Effects, and Stock Market Performance of Initial Public Offerings in South Korea," with Hesuk Chun, *Journal of Emerging Market Finance*, 2, 3, 253-285, December 2003

"A Tale of Two Tigers: Employee Financial Participation in Korea and Taiwan," with Beom-cheol Cin and Tzu-Shian Han, *The International Journal of Human Resource Management*, September 2003.

"Village Banking and Maternal and Child Health: Evidence from Ecuador and Honduras," *World Development*, 30, 4, 707-723, April 2002

"Employee Stock Ownership and Participation in South Korea: Incidence, Productivity Effects, and Prospects," with Beom-cheol Cin, *Review of Development Economics*, 6, 2, 263-283, June 2002

"Human Capital Convergence: A Joint Estimation Approach," with Randa Sab, *IMF Economic Review* (formerly *IMF Staff Papers*), 49, 2, 200-211, August 2002; Download: <https://www.imf.org/external/pubs/ft/staffp/2002/02/sab.htm>

"Investment, Exports, and Output in South Korea: A VAR Approach to Growth Empirics," with Edward Feasel and Yongbeom Kim, *Review of Development Economics*, 5, 3, 421-432, October 2001

"Investment and Supply Effects of Industry-Indexed Bonds: The Labor Managed Firm," with Robert Waldmann, *Economic Systems*, 23, 3, 245-268, 1999

"Prospects for Research on Participation," *Economic Analysis: Journal of Enterprise and Participation*, 1, 3, 197-202, 1998

"Post-Privatization Duopolies: On Equilibria and Deterrence among Labor Managed and Conventional Firms," with Ahmed Keshk, *Advances in the Economic Analysis of Participatory and Labor Managed Firms*, Vol. 6, 163-186, 1998

"Privatization Incidence, Ownership Forms, and Firm Performance: Evidence from Slovenia," with Beom-cheol Cin and Milan Vodopivec, *Journal of Comparative Economics*, 25, 158-179, 1997

"The Health-Development Link: Microenterprise Development for Positive Health Outcomes," with R. Rodriguez-Garcia, J. Macinko and B. Schwethelm, *International Affairs Review*, 63-79, Spring 1996

"Employee Participation in China's Township and Village Enterprises," *China Economic Review*, 6, 1, 157-167, 1995

"Firm Size and the Effect of Profit-Sharing," with M.D. Bradley, *J. Inst. Public Enterprise*, 18, 1, 1-13, 1995

"Innovation and Market Strategy in Italian Industrial Cooperatives: Econometric Evidence on Organizational Comparative Advantage," *Journal of Economic Behavior and Organization*, 23, 3, 303-321, 1994

- "On the Law and Economics of Employee Ownership in Privatization in Developing and Transition Economies," *Annals of Public and Cooperative Economics*, 65, 3, 437-468, 1994: <https://onlinelibrary.wiley.com/doi/pdf/10.1111/j.1467-8292.1994.tb01396.x>
- "The Ownership Reform of Township Enterprise in China: From International Experience" (in Chinese), *Chinese Rural Economy*, 12, 56-60, Dec. 1994
- "The Political Economy of the Maryland Biotechnology Industrial District," with Harvey Feigenbaum, *Business and the Contemporary World*, 5, 105-118, Autumn 1993
- "Labor-Managed Cooperatives and Private Firms in North-Central Italy: An Empirical Comparison," with W. Bartlett, J. Cable, S. Estrin and D. Jones, *Industrial and Labor Relations Review*, 46, 103-118, 1992  
<http://www.jstor.org/discover/10.2307/2524741?uid=3739936&uid=2&uid=4&uid=3739256&sid=21104749443173>
- "Optimal Internal Investment in a Labor-Managed Firm with Heterogeneous Membership: An Overlapping Generations Approach," with M.H. Ye and M. Conte, *Journal of Comparative Economics*, 16, 479-493, 1992
- "The Comparative Institutions of Profit Sharing: The U.S. Computer Industry," with M.D. Bradley, *Journal of Economic Issues*, June 1992
- "On the Economic Rationale for Codetermination Law," *Journal of Economic Behavior and Organization*, 16, 261-281, Dec. 1991
- "Financial Repression and Real Output: Macroeconometric Evidence from Yugoslavia," with M.D. Bradley, *China Economic Review*, 2, 231-246, 1991
- "Allocation and Distribution in an Employee-Owned Firm under Both Output and Labor Market Uncertainty," with M.H. Ye, *Economic Analysis*, 26 (2), 115-136, 1991
- "On the Incidence of Profit and Equity Sharing: Theory and an Application to the High Tech Sector," *Journal of Economic Behavior and Organization*, 9, 45-58, 1988
- "On Illyrian Macroeconomics," with M. D. Bradley, *Economica*, 55, 249-260, 1988
- "Dynamic Allocation in a Labor-Managed Firm," with M.H. Ye, *Journal of Comparative Economics*, 12, 2, 204-216, 1988
- "Employment, Prices and Money in the Share Economy: An Alternative View," with M. D. Bradley, *Advances in the Economic Analysis of Participatory and Labor Managed Firms*, 3, 201-208 (1988)

"The Behavior of Labor-Managed Firms under Uncertainty: Product Diversification, Income Insurance and Layoff Policy," with M.H. Ye, *Annals of Public and Cooperative Economics*, 57, 65-82, 1987

"Some Microeconomic Analysis of Income-Sharing Firms," with M. D. Bradley, *Advances in the Economic Analysis of Participatory and Labor Managed Firms*, 2, 91-111, 1987

"Political Behavior as an Economic Externality: Econometric Evidence on the Spillover of Participation in U.S. Firms to Participation in Community Affairs," *Advances in the Economic Analysis of Participatory and Labor Managed Firms*, 1, 123-136, 1985

"Does Employment Matter to the Labor Managed Firm? Some Theory and an Empirical Illustration," *Economic Analysis*, 18, 303-318, 1984

"Multinational Corporations and the Third World: Bargaining Power and Development," *Journal of International Affairs*, Fall 1984

"The Economics of Joint Ventures in Less Developed Countries," with Jan Svejnar, *The Quarterly Journal of Economics*, 98, 149-168, 1984

"The Economics of Joint Ventures in Centrally Planned and Labor-Managed Economies," with Jan Svejnar, *Journal of Comparative Economics*, 5, 148-172, 1982



Selected Short Articles, Policy Papers, Briefs, and Commentaries

“Imperfect Financial Markets and Poverty Traps: Comments on Carter, Ikegami, and Barrett; and Kaboski, Buera, and Shin,” forthcoming 2018 in National Bureau of Economic Research (NBER), *Poverty Traps*, Edited by Chris Barrett and others

“Low-Cost Cultivation Improvements Have Big Impacts on Food Security and Resilience in Uganda,” with Yao Pan and Munshi Sulaiman, *Policy Brief*, USAID Feed the Future Innovation Lab for Assets and Market Access, 2018:

<https://basis.ucdavis.edu/publication/policy-brief-low-cost-cultivation-improvements-have-big-impacts-food-security-and>

“Improved Seed Use and Farming Practices Sustain after Program Ends in Uganda,” *Policy Brief*, USAID Feed the Future Innovation Lab for Assets and Market Access, with Ram Fishman, Vida Bobic, and Munshi Suliaman, September 2017:

<https://basis.ucdavis.edu/publication/policy-brief-improved-seed-use-and-farming-practices-sustain-after-program-ends-uganda>

Paris Climate Conference/COP-21 UNFCCC Observer Blog, Dec. 2015; available at <http://www.internationaleconpolicy.com/climate-adaptation/reflections-on-cop21-and-the-paris-climate-agreement/>

“US-China Economic Relations,” with Yao Pan. In Shenggen Fan, Ravi Kanbur, Shang-Jin Wei and Xiaobo Zhang, eds., *The Oxford Companion to the Economics of China*, Oxford University Press, pp. 119-124; December 2014:

<http://ukcatalogue.oup.com/product/9780199678204.do>

“Cooperatives in a Global Economy: Key Economic Issues, Recent Trends, and Potential for Development,” with Jonathan Rothbaum, in Sonja Novkovic and Tom Webb, eds., *Co-operatives in a Post-Growth Era: Creating Co-operative Economics*, pages 221-241, Oct. 2014, Zed Books (Distributed by University of Chicago Press):

<http://press.uchicago.edu/ucp/books/book/distributed/C/bo20842204.html>

Also available as IZA Policy Paper 68, Sept. 2013, at:

[http://www.iza.org/en/webcontent/publications/policypapers/viewAbstract?policypaper\\_id=68](http://www.iza.org/en/webcontent/publications/policypapers/viewAbstract?policypaper_id=68)

“Training, Technology, and Credit in Senegal and Uganda,” with Ram Fishman, *Policy Brief*, USAID Feed the Future Innovation Lab for Assets and Market Access, 2014, at: [http://basis.ucdavis.edu/wp-content/uploads/2014/08/Smith\\_Stephen2.pdf](http://basis.ucdavis.edu/wp-content/uploads/2014/08/Smith_Stephen2.pdf)

“The Triple Threats of Unstable Food Prices... and What Can Be Done About Them,” *World Ark*, Winter 2012,

[http://www.nxtbook.com/nxtbooks/heifer/worldark\\_201202/#/14](http://www.nxtbook.com/nxtbooks/heifer/worldark_201202/#/14)

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[http://www.nxtbook.com/nxtbooks/heifer/worldark\\_2011winter/index.php#/30](http://www.nxtbook.com/nxtbooks/heifer/worldark_2011winter/index.php#/30)

“The Miracle of Bangladesh: From Basket Case to Case in Point,” *World Ark*, May/June 2009, pages 13-21, [http://www.nxtbook.com/nxtbooks/heifer/worldark\\_2009summer/#/14](http://www.nxtbook.com/nxtbooks/heifer/worldark_2009summer/#/14)

“The Role of NGOs in Health, Education, Environment, and Gender: Application of the Theoretical Framework,” with J. Brinkerhoff and H. Teegen, in *NGOs and the Millennium Development Goals: Citizen Action to Reduce Poverty*, Jennifer Brinkerhoff, Stephen C. Smith and Hildy Teegen, eds. New York: Palgrave Macmillan, 2007, pages 149-180

“Conclusions and Policy Implications,” with J. Brinkerhoff and H. Teegen, in *NGOs and the Millennium Development Goals: Citizen Action to Reduce Poverty*, Jennifer Brinkerhoff, Stephen C. Smith and Hildy Teegen, eds. New York: Palgrave Macmillan, 2007, pages 189-200

“Protecting the Poor from Climate Change,” *World Ark*, November-December 2007  
[http://www.nxtbook.com/nxtbooks/heifer/worldark\\_20071112/index.php?startid=17](http://www.nxtbook.com/nxtbooks/heifer/worldark_20071112/index.php?startid=17)

“The Millennium Development Goals and the Struggle Against Poverty Traps,” United Nations Economic and Social Council, Meeting on “Eradicating poverty and hunger – Joining forces to make it happen,” United Nations, New York, Monday, 2 April 2007, <http://www.un.org/en/ecosoc/news/ecosoc.newsletter.v6nr.2.pdf>  
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"Microcredit and Health Programs: To Integrate or Not to Integrate?" in Rosalia Rodriguez-Garcia, James Macinko, and William Waters, ed., *Microenterprise Development for Better Health Outcomes*, (Contributions to Economics and Economic History), Westport, CT: Greenwood, 41-50, 2001

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"On the Market Failure Case for Labor Policy to Promote Human Development," in Luis Eugenio Di Marco, ed., *Humanismo Economico y Tecnologia Cientifica, Bases para la refundacion del analisis economico*, pp. 143-154, Cordoba, Argentina: Atena Editorial for Ediciones Ciec, Vol. I, 1999

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"Privatization Incidence, Firm Performance, and Complementarities and Conflicts Between Foreign Investment, Employee and other Forms of Ownership: Slovenia" (with M. Vodopivec and B.C. Cin), in Luis Eugenio Di Marco, ed., *La Economia del Orden Nuevo*, Cordoba, Argentina: Atena Editorial for Ediciones Ciec, Volume I, pages 365-392, 1995

"Property Rights Reform in China's Township and Village Enterprises: Implications from the Economic Theory and International Experience of Employee Ownership," (in Chinese), in *Township and Village Enterprises in China: Nature, Experiences and Reforms*, Zhejiang: Zhejiang Peoples' Press, 1994

"Employee Ownership in the Third World: The Law and Economics of a New Trend in Privatization," in Marcia Jones, ed., 12th ATWS Proceedings, Georgia Southern Univ., Publ., 284-300, 1994

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"Worker Participation and Workplace Public Goods: Some Theory and Attitudinal Evidence from U.S. Labor-Managed and Codetermined Firms," in S. Mahalingham et. al., *Labour Participatory Economy*, New Delhi: Mittal, pp. 69-93, 1993

"A Proposal for an Industry-Performance Risk Participation Bond," with R. Waldmann, in S. Mahalingham, et.al, *Labour-Managed Market Economies*, New Delhi, India: Mittal, p3-10, 1993

"Programs for Codetermination and Employee Stock Ownership in India," *Economic and Industrial Democracy*, 9, 1, 121-128, 1988  
<http://journals.sagepub.com.proxygw.wrlc.org/doi/pdf/10.1177/0143831X8891007>

## Shorter Monographs and Reports

*When is a Maize Seed Like a Lemon? Seed Dealer and Smallholder Farmer Behavior and Perceptions in Uganda*, March 2017, research report for BASIS-USAID; available upon request

*The Impacts of Irrigated Horticulture in the Sahel: Report on Baseline Findings for an Agriculture Development Program in Senegal*, with Jessica Fanzo, Shauna Downs, Ram Fishman, Jozefina Kalaj, and Vida Bobic, research report for BASIS-USAID

*Grants and Concessional Loans for Supporting Climate Resilience: Lessons from and Analyses of PPCR Experiences*, lead author, report for World Bank Group (reference to Pilot Program for Climate Resilience), June 2015

*Special Issue on Adaptation to Climate Change in Low Income Countries*, special issue of *Climate Change Economics*, co-editor with Arun Malik, Volume: 03, Number: 02 (May 2012); complete contents at <http://www.worldscientific.com/toc/cce/03/02>

*Second, Third, and Fourth Conferences on China's Economic Development and U.S.-China Economic Relations*, "Virtual conference volumes" November 2009, October 2010, and September 2011, at <http://www.gwu.edu/~iiep/signatureinitiatives/china/USChinaConference/>

*Labor markets, Human Capital, and Impediments to Entrepreneurship in Africa: An Issues Note for Research on Relaxing Constraints on African Employment Growth and Poverty Reduction*, Report for the World Bank, February 2004

*Assessing and Facilitating the Poverty Alleviation Impacts of SME Assistance: Framework and Strategies*, February 2004; Drawn on in the Small Enterprise Assistance Funds (SEAF) report, *The Development Impact of Small and Medium Enterprises*, at: <http://seaf.com/wp-content/uploads/2014/10/Volume-1-Main-Report1.pdf>

*Blooming Together or Wilting Alone? Organizational Comparative Advantage, Network Externalities, and Mondragon and La Lega Cooperative Networks*, Paper No. 2001/27, World Institute of Development Economics Research (WIDER), Helsinki, July 2001: [http://archive.unu.edu/hq/library/Collection/PDF\\_files/WIDER/WIDERdp2001.27.pdf](http://archive.unu.edu/hq/library/Collection/PDF_files/WIDER/WIDERdp2001.27.pdf)

*Human Capital Convergence - International Evidence*, with Randa Sab, IMF Working Paper 01/32, Washington, DC: International Monetary Fund, 2001, <http://www.imf.org/external/pubs/ft/wp/2001/wp0132.pdf>

*Privatization and Public-Private Competitions: Lessons from State and Local Government*, Report CRM D0000459.A2/Final, Washington, DC: Center for Naval Analyses, May 2000

*The Firm, Human Development, and Market Failure*, ILO Labour Market Paper #10, Geneva: International Labour Office, 1995:  
[http://www.ilo.org/empelm/pubs/WCMS\\_126303/lang--en/index.htm](http://www.ilo.org/empelm/pubs/WCMS_126303/lang--en/index.htm)

*Industrial Policy in Developing Countries: Reconsidering the Real Sources of Export-Led Growth*, Economic Policy Institute, 1991:  
[http://www.epi.org/publication/epi\\_virlib\\_studies\\_1991\\_industrialp/](http://www.epi.org/publication/epi_virlib_studies_1991_industrialp/)

*Tooth Fairy Evaluation: An Evaluation of the Vermont Children's Comprehensive Dental Program*, with Caroline Frothingham, Montpelier, Vermont: VPIRG, 1977

### Book Reviews

Review of Ian Smillie, "Freedom from Want: The Remarkable Success Story of BRAC, the Global Grassroots Organization That's Winning the Fight Against Poverty," West Hartford, CT: Kumarian Press, 2009, in: *Economic Development and Cultural Change*, 58, 808–814, July 2010

Review of Daryl Collins, Jonathan Morduch, Stuart Rutherford, and Orlanda Ruthven, "Portfolios of the Poor: How the World's Poor Live on \$2 a Day," Princeton, NJ: Princeton University Press (2009), in: *Journal of Economic Literature*, December 2009, pp 1149-1152

Review of Nobel Laureate Muhammad Yunus, "Creating a World without Poverty: Social Business and the Future of Capitalism" (2007), in: *Stanford Social Innovation Review*, Summer 2008

Review of Vojmir Franicevic and Milica Uvalic, eds., "Equality, Participation, Transition," *Journal of Economic Literature*, 41, 1, 216-217, March 2003

Review of Jeff Gates, "The Ownership Solution: Toward a Shared Capitalism for the 21st Century," *Comparative Economic Studies*, 42, 3, 130-134, Fall 2000

Review of Debraj Ray, "Development Economics," *Journal of Development Economics*, 62, 1, 280-284, June 2000

Review of T.M. Thomas Isaac, Richard W. Franke, and Pyaralal Raghavan, "Democracy at Work in an Indian Industrial Cooperative. The Story of Kerala Dinesh Beedi," *Journal of Comparative Economics*, 27, 3, 576-579, Sept. 1999

Review of David I. Levine, "Reinventing the Workplace: How Business and Employees Can Both Win," *Journal of Economic Behavior and Organization*, 30, 269-272, 1996

Review of Anthony B. Atkinson, ed., "Alternatives to Capitalism: The Economics of Partnership. Essays in Honor of Nobel Laureate James Meade," *Journal of Comparative Economics*, 20, 368-371 (1995)

Review of David Ellerman, "Property and Contract in Economics," *Journal of Comparative Economics*, 19, 463-466 (1994)

Review of Michael Porter, "The Competitive Advantage of Nations," *Journal of Development Economics*, 36, 399-404 (1993)

Review of Michael Albert and Robin Hahnel, "Looking Forward: Participatory Economics for the 21st Century," *Journal of Comparative Economics*, 16, 765-773 (1992)

Review of Nobel Laureate James Meade, "Agathatopia: The Economics of Partnership," *Journal of Comparative Economics*, 16, 370-376 (1992)

Review of Assar Lindbeck and Dennis J. Snower, "The Insider-Outsider Theory of Employment and Unemployment," *Economic and Industrial Democracy*, 11, 582-585 (1990)

Review of Isher Judge Ahluwalia, "Industrial Growth in India: Stagnation since the Mid-Sixties," *Journal of Development Economics*, 28, 397-406 (1988)

Review of Branko Horvat, "The Political Economy of Socialism," *In These Times*, June 16, page 18 (1982)

Review of David Schweickart, "Capitalism or Worker Control? An Ethical and Economic Appraisal." Book Note, *The Economic Journal*. 92, No. 367, page 733 (1982)

Working Papers and Work in Progress:

*In progress:* Revision of *Economic Development, 13<sup>th</sup> Edition*, with Mike Todaro, scheduled for publication in 2019

“How Sustainable Are Benefits from Extension for Smallholder Farmers? Evidence from a Randomized Phase-Out in Uganda,” with Ram Fishman, Vida Bobic, and Munshi Sulaiman, Initial working paper: IZA Discussion Paper No. 10641: <http://ftp.iza.org/dp10641.pdf>, March 2017; updated working paper incorporated the final rounds of data in progress; draft available upon request

“Can Relaxing Constraints on Women Reduce Child Deprivations? Pathways, Measurement, and Preliminary Evidence from South Asia,” with Lucia Ferrone and Alberto Posso, draft available

“Works Councils and Workplace Health Promotion in Germany,” with Uwe Jirjahn and Jens Mohrenweiser, draft available

“Multidimensional Targeting and Evaluation: Framework and Application to a Poverty Program in Bangladesh,” with Virginia Robano, IZA Discussion Paper 7593, available as Oxford Poverty and Human Development Initiative (OPHI) Working Paper 65, at: <http://www.ophi.org.uk/multidimensional-targeting-and-evaluation-a-general-framework-with-an-application-to-a-poverty-program-in-bangladesh/>

“Nonunion Institutions of Works Councils and Employee Board Representation: Cross-National Comparative Practices and Determinants,” with Uwe Jirjahn, Paper presented at the IZA Workshop on the Economics of Employee Representation, Sept. 6-7, 2018, presentation slides available; [http://conference.iza.org/conference\\_files/EmRep\\_2018/viewProgram?conf\\_id=3147](http://conference.iza.org/conference_files/EmRep_2018/viewProgram?conf_id=3147)

Comparative Analysis of Financial Instruments for Climate Change Adaptation and Resilience, in progress

“A mobile health voice messaging intervention to improve infant and young child feeding practices in Senegal,” planned submission to *Maternal and Child Nutrition*; with Shauna Downs, Jessica Fanzo, and Jozefina Kalaj, first draft

“Horticulture and Child Nutrition: Senegal,” with Shauna Downs, Ram Fishman, and Jozefina Kalaj, early stages

“Measuring Ultrapoverty: A New Class of Indices, with Applications to Rural Ethiopia Panel Data,” with James E. Foster, first draft available, presented at the Brookings Institution



“A Note on Efficient Program Stopping Conditions,” in progress; draft outline available upon request

“On Multidimensional and Interlocking Poverty Traps: Framework and Application to Ethiopian Household Panel Data,” with Sungil Kwak, presented at Northeast Universities Development Consortium Conference (NEUDC), Yale University, Nov. 2011, working paper available at:

[http://www.gwu.edu/~iiep/assets/docs/papers/Smith\\_IIEPWP2011-04.pdf](http://www.gwu.edu/~iiep/assets/docs/papers/Smith_IIEPWP2011-04.pdf)

“A Comparative Analysis of Anti-Poverty Strategies: A Network and Bargaining Approach,” with Sumit Joshi and Ahmed Saber Mahmud, draft available

“Nonseparability, Risk, and Welfare: Theory with Applications to Poverty Program Design and Public Health,” with Sanjay Jain, draft available

“Autonomous Adaptation to Climate Change: A Literature Review,” with Arun Malik and Xin Qin, IIEP Working Paper 2010-27:

[https://www2.gwu.edu/~iiep/assets/docs/papers/Smith\\_Malik\\_IIEPWP2010-27.pdf](https://www2.gwu.edu/~iiep/assets/docs/papers/Smith_Malik_IIEPWP2010-27.pdf)

“Climate Change, Uncertainty and Decision-Making,” with Arun Malik and Jonathan Rothbaum, IIEP Working Paper 2010-24:

[http://www.gwu.edu/~iiep/assets/docs/papers/Smith\\_Malik\\_IIEPWP2010-24.pdf](http://www.gwu.edu/~iiep/assets/docs/papers/Smith_Malik_IIEPWP2010-24.pdf)

Selected Conferences and Research Workshops:

Brookings Institution, “Place-Based Policies for Shared Economic Growth: Effective Government, Education, Economic Security, and Poverty,” Launch event, presented a paper, September 2018

Institute of Labor Economics (IZA) Workshop on the Economics of Employee Representation, Bonn, Germany, September 7-8 2018, workshop co-organizer; and presented a paper; program at:  
[http://conference.iza.org/conference\\_files/EmRep\\_2018/viewProgram?conf\\_id=3147](http://conference.iza.org/conference_files/EmRep_2018/viewProgram?conf_id=3147)

UNICEF Office of Research – Innocenti seminar series, presented a paper, April 5, 2018

Citi – GWU “Thought Leadership Event on Digitization in Africa: Realizing the Opportunities & Mitigating the Risk to Drive Financial and Political Inclusion,” Panel Chair for “Risks of Digitalization & Associated Mitigation Strategies,” Oct. 11, 2017

Institute of Labor Economics (IZA) Research Seminar, June 20, 2017, presented a paper

World Bank, “Poverty and Applied Micro Workshop,” Presentation, May 24, 2017

International Monetary Fund (IMF) Africa Regional Economic Outlook “Report Release Discussion,” joint IMF – IIEP Forum, Event co-organizer; served as a Discussant and as session chair, Washington DC, January 24, 2017

Poverty in India: Issues and Policies, IIEP International Economic Policy Forum, Nov. 16, 2016, served as Discussant

BASIS Annual Technical Committee Meeting research workshop, November 4-5, 2016, served as a discussant

Conference on Inclusive and Sustainable Growth in India, served as Conference Co-Organizer and session chair, Co-sponsored by GWU-IIEP and the India National Institute of Public Finance and Policy (NIPFP), October 5, 2016

National Bureau of Economic Research (NBER) Conference on The Economics of Asset Accumulation and Poverty Traps, June 28-29, 2016, served as a discussant for two papers

Conference on “Measuring Poverty's Depth and Breadth, and Shared Prosperity: The World Bank/IMF Global Monitoring Report 2015/2016,” March 28, 2016, GWU-World Bank conference, Washington DC, presented a paper

3ie-IFPRI Seminar Presentation, International Food Policy Research Institute, January 14, 2016

COP-21 Paris Climate Conference, participated as UNFCCC Observer, December 7-11, 2015; blog available at <http://www.internationaleconpolicy.com/climate-adaptation/reflections-on-cop21-and-the-paris-climate-agreement/>

Northeast Universities Development Consortium Conference (NEUDC), Brown University, Nov. 7-8, 2015, presented a paper, and served as a session chair and discussant

BASIS Annual Technical Committee Meeting research workshop, UC Berkeley, September 29-30, 2015, presented a paper and served as a discussant

USAID/MSI Extreme Poverty Methods Work Shop, July 14, 2015, invited participant/presenter

International Monetary Fund (IMF) Africa Regional Economic Outlook “Report Release Discussion,” a joint IMF – IIEP Forum, Washington DC, May 7, 2015, served as discussant of overview chapter; Presentation slides available at: [http://www.gwu.edu/~iiep/events/Africa\\_Regional\\_Economic\\_Outlook/comments-smith.pdf](http://www.gwu.edu/~iiep/events/Africa_Regional_Economic_Outlook/comments-smith.pdf)

Inaugural Conference on India's Economy, Institute for International Economic Policy (IIEP), Washington DC, chaired session on “Poverty Eradication and Participation,” April 13, 2015

“USAID and GW Discuss Ending Extreme Poverty,” Speaker (with USAID Assistant Administrator for Policy Alex Thier), Washington DC, January 27, 2015; presentation slides available: <http://www.gwu.edu/~iiep/events/>

7th GW Conference on China's Economic Development and U.S.-China Economic Relations, served as session chair for panel on “Environmental Outcomes in China with Global Implications,” Washington DC, November 21, 2014

BASIS Annual Technical Committee Meeting research workshop, held at GWU in Washington DC, conference co-host; presented work in progress; and served as a discussant, November 5-6, 2014

Workshop on “The Last Mile in Ending Extreme Poverty,” Brookings Institution, January 30-31, 2014, presented a paper

6th GW Conference on China's Economic Development and U.S.-China Economic Relations, served as session chair for panel on “China's Economic and Political Development,” Washington DC, November 8, 2013

BASIS Annual Technical Committee Meeting, UC Davis, September 12-13, 2013, presented research in progress

Aspen Network of Development Entrepreneurs (ANDE) Metrics Conference 2013, June 12-13, 2013, research presentation, and panel participant

GW-World Bank Conference on “Urbanization and Poverty Reduction: Bridging Rural and Urban Perspectives,” May 13-14, 2013, served as session chair

Washington Area Development Economics Symposium (WADES) conference: provided introduction to the Conference, and served as Chair of the morning sessions, April 20, 2013

Presented Sichel Lecture, in series on the Political Economy of Governance: “Governance Challenges for Local Climate Adaptation and Poverty Alleviation,” Western Michigan University, April 10, 2013

“Workshop on Inclusive Growth and Poverty in Asia,” Washington, co-sponsored by George Washington University, Asian Development Bank and Oxford University, Dec. 3-4, 2012, served as a discussant

“7<sup>th</sup> IZA/World Bank Conference: Employment and Development,” November 5 - 6, 2012, New Delhi, India, chaired a session and presented a paper

International Conference on Co-operative Economics - Imagine 2012, Quebec, Canada, October 6-7, 2012, presented a paper through videoconferencing

“Symposium on Poverty,” Kurukshetra University, India, October 19-20, 2012, gave the keynote address, and presented a paper

Sixteenth International IAFEP Conference, Rutgers University, July 12 - 14, 2012, presented a paper and served as a discussant

Symposium on Ultra-poverty, March 22 - 24, 2012, IIEP, George Washington University, conference co-organizer, presenter, and co-author of background papers  
<http://www.gwu.edu/~iiep/poverty>

Northeast Universities Development Consortium Conference (NEUDC), Yale University, November 12-13, 2011, presented a paper and served as a discussant

“Symposium on Governance and the Eradication of Poverty: New Perspectives from Multidisciplinary Analysis,” October 20 – 22, 2011, Yonsei University, Korea, presented paper through videoconferencing

Forum on Food Price Increases: Causes, Impacts and Responses, Sept. 30, 2011, Institute for International Economic Policy, George Washington University, Conference co-organizer and presented Introduction to the Conference

Fourth GW Conference on China's Economic Development and U.S.-China Economic Relations, 9-4, Sept. 23, 2011, conference co-organizer; presented conference opening

Aspen Environment Forum (co-sponsored by the Aspen Institute and National Geographic Society), Aspen, Colorado, May 31 – June 3, 2011. Invited speaker for two sessions: May 31, "9 Billion at Mid-Century: Then What?" and June 1, "What do we Mean by Progress?"

Conference on Behavioral Finance, member Conference Organizing Committee, jointly sponsored with the IMF Institute, May 26, 2011, presented the Welcoming Remarks and the introduction to the Keynote Address by George Akerlof

The Economics of Adaptation to Climate Change in Low Income Countries, May 18-19, 2011, George Washington University in partnership with UNDP and World Bank, conference co-organizer, <http://www.gwu.edu/~iiep/adaptation/index.cfm>, provided the conference introduction; co-author of the two conference background papers

Northeast Universities Development Consortium Conference (NEUDC), MIT, November 2010, paper presented (by co-author, GW PhD student Sungil Kwak); and served as a discussant

Third GW Conference on China's Economic Development and U.S.-China Economic Relations, 9-5, Oct. 8, 2010, conference organizer and chair, opening/framing presentation

Poverty Symposium 2010: Theme: Many Voices, One Movement: Building Prosperity, Columbus State University, gave keynote address, May 27, 2010

Climate and Energy Forum, Institute for International Economic Policy forum, GWU, co-organizer, and presented a talk, April 23, 2010,

Northeast Universities Development Consortium Conference (NEUDC), Tufts University, November 2009, chaired a session

Second GW Conference on China's Economic Development and U.S.-China Economic Relations, George Washington University, Nov. 20, 2009, conference organizer and chair, and presented opening talk

"The Day Before: A Conference on the National Security Implications of Climate Change," Institute for International Economic Policy/Elliott School participating co-sponsor, Sept. 10, 2009

Keynote address, Poverty Action Conference, Mary Washington University, Fredericksburg, Virginia, April 4, 2009

American Economic Association Annual Meeting, Jan. 2009, paper presented (with presentation of co-author, PhD student Fenohasina Maret Rakotondrazaka), ASSA conference, San Francisco

Northeast Universities Development Consortium Conference (NEUDC), Boston University, Nov. 8-9, 2008, presented a paper and chaired a session

Keynote address, conference on "Exploring Solutions to Poverty: A Global Perspective Through the Local Community Lens", Oct. 3, 2008, Syracuse University

Association for Research on Nonprofit and Voluntary Action (ARNOVA) Conference, Atlanta, GA, Nov. 2007, presented a paper

Symposium on New Directions for Research on Microfinance, April 20, 2007, first conference of IIEP, George Washington University, served as conference co-organizer, provided symposium introduction, and served as chair

United Nations Economic and Social Council, Meeting on "Eradicating poverty and hunger – Joining forces to make it happen," United Nations, New York, Monday, 2 April 2007, presented "The Millennium Development Goals and the Struggle Against Poverty Traps,"

<http://www.un.org/docs/ecosoc/meetings/2007/amr2007/Stephen%20Smith.pdf>

Development Management Network Workshop, Annual Meeting, March 2007, Washington DC, plenary presentation

United Nations International Forum on the Eradication of Poverty (conference marking the end of the First UN Poverty Decade 1996-2006), New York, Nov. 15-16, 2006; served as invited session organizer and presented "On Overcoming Poverty Traps," at: <http://www.un.org/esa/socdev/poverty/PovertyForum/docs.html>

Ending Poverty 101, sponsored by United Nations Association of the Capital Area, International Affairs Society, United Nations Foundation, and ONE Campaign, Washington, October 26, 2005, served as panelist

Academy of International Business Annual Meetings, Quebec City, Canada, July 2005, presented a paper

"Ending Global Poverty: A Guide to What Works," book launch, The World Bank InfoShop auditorium, July 8, 2005

International Food Policy Research Institute-Cornell University Conference on "Threshold Effects and Non-Linearities in Growth and Development," IFPRI, Washington DC, May 11-13, 2005, served as session chair

International Nongovernmental Organization Team (INGOT) Roundtable on “Approaches to Effective Small and Microenterprise Support,” GWU, April 2005, served as discussant

Symposium on “The Many Faces of Poverty,” Middlebury College, served as keynote speaker, March 7, 2005

Africa Project Conference, International Institute of Corporate Governance and Accountability, GWU Law School, Oct. 29-30, 2004, presented a paper

Twelfth International IAFEP Conference, St. Mary's University, Halifax, Canada, July 8-10, 2004, presented a paper in a plenary session, and chaired a session

Conference on the Role of NGOs in Achieving the Millennium Development Goals, Washington, DC, Conference Co-coordinator, paper presenter, and session moderator, May 12-13, 2004

Sloan Conference on Corporations, Markets, and the State: A Multidisciplinary Inquiry Into the Future of Modern Global Business, George Washington Univ. Law School, March 4-6, 2004, presented a paper

World Bank, presented commissioned research issues paper entitled “Labor markets, Human Capital, and Impediments to Entrepreneurship in Africa: An Issues Note for Research on Relaxing Constraints on African Employment Growth and Poverty Reduction,” World Bank, March 2004.

Globalization Conference, William Patterson University/ John Jay College of Criminal Justice, Oct. 2003, invited panel presentation and closing roundtable participant

Paving the Way Forward for Rural Finance: International Conference on Best Practice," US AID, session moderator, "Rural Finance Expansion: Experience in Commercialization," June 2003

Special Consultative Conference on Meeting the Challenges of Poverty and Improved Social Action in the Americas, IACD/ Organization of American States, panel presentation, March 2003

Northeast Universities Development Conference (NEUDC), Williams College, Oct. 2002, presented a paper, chaired a session, and served as a discussant

Conference on "Charting a New Course for Africa in the 21st Century," Washington DC, April 2002, chaired a panel

Association for Comparative Economic Studies panel at ASSA/AEA annual meetings, Atlanta, GA, Jan. 2002, presented a paper

Northeast Universities Development Consortium Conference (NEUDC), Boston University, Sept. 2001, presented a paper and served as session chair and discussant

North America Summer Meetings of the Econometric Society, Univ. of Maryland, June 2001, presented a paper and chaired two sessions

Conference on "Property Rights Regimes, Microeconomic Incentives, and Development," World Institute for Development Economics Research (UN-WIDER), Helsinki, April 2001, presented a paper

Economic Demography Workshop at the Annual Meeting of the Population Association of America, Washington, DC, March 2001, presented a paper

North America Winter Meetings of the Econometric Society (at ASSA conf.), New Orleans, Jan. 2001, presented a paper.

Northeast Universities Development Consortium Conference (NEUDC), Cornell University, Oct. 2000, presented a paper

Tenth International Association for the Economics of Participation (IAFEP) Conference, University of Trento, Italy, July 6-8, 2000, presented a plenary address, entitled "Is Development Participation an Alternative to Conditionality?"

Northeast Universities Development Consortium Conference (NEUDC), Harvard University, Oct. 9, 1999, co-authored paper presented

III Encuentro Internacional de Economia (3rd International Economics Meetings), University of Cordoba, Argentina, Aug. 10-14, 1999, presented a paper

Conference on Democracy, Participation, and Development, Columbia University, April 23-24, 1999, presented a paper

Northeast Universities Development Consortium Conference (NEUDC), Yale University, October, 1998, presented a paper

Ninth International Association for the Economics of Participation (IAFEP) Conference, University of Bristol, England, June 26-28, 1998, presented a plenary address, presented a paper, and chaired two sessions

Brookings-MIT Conference on Corporations and Human Capital, MIT Endicott Conference Center, January 12-13, 1998, served as discussant.

Allied Social Sciences Association (ASSA) annual meetings, Chicago, Illinois, January 5-7, 1998, presented a paper on the American Economic Association panel on "Micro Development"



Northeast Universities Development Consortium Conference (NEUDC), Development Economics Center, Williams College, Oct. 24-25, 1997, presented a paper

International Development Consortium Conference, Washington DC, Jan. 1997, United Nations Development Programme panel, session chair and panelist

Northeast Universities Development Consortium Conference (NEUDC), Boston University, Oct. 31-Nov. 1, 1996, presented a paper

Conference on "The Health-Development Link: Microenterprise Development for Better Health Outcomes," Rockefeller Foundation Bellagio Center, Italy, October 1996, made two presentations

Eighth International IAFEP Conference, Prague, Czech Rep., Aug., 1996, presented a paper

Allied Social Sciences Association annual meetings, San Francisco, CA, Jan. 5-7, 1996, presented two papers, on IRRA and ACES programs.

International Cooperatives Alliance, Strategic Alliances Regional Conference, Miami, Dec. 6-8, 1995, presented a paper

Conference on "Enterprise Restructuring and Labour Markets," ILO Torino Conference Center, May 30-June 2, 1995, presented a paper

Allied Social Sciences Association annual meetings, Washington, DC, Jan. 3-5, 1995, presented a paper and served as a discussant

Conference on "Social and Economic Problems of Ukraine as Transitional Society," Kiev, Dec. 1-4, 1994, presented a paper

Southern Economic Association annual meetings, Orlando, Florida, November 1994, presented a paper

Northeast Universities Development Consortium Conference (NEUDC), Yale University, October 1994, presented a paper

Association of Third World Studies, annual meetings, College of William and Mary, Williamsburg, Va., Oct. 5-7, 1994, presented a paper

International Symposium on Property Rights of Township and Village Enterprises (TVEs), Hangzhou, China, August 6-8, 1994, presented a paper and participated in preceding TVEs research tour sponsored by Ford Foundation

Seventh International IAFEP Conference, Portoroz, Slovenia, June 16-18, 1994, presented a paper and served as a session chair

Conference on "Expanding Multidisciplinary Approaches in Development," George Washington Univ., April 30, 1994, conference organizer, and served as discussant

Allied Social Science Association (ASSA) annual meetings, Boston, MA Jan. 3-5, 1994, presented a paper

Northeast Universities Development Consortium Conference (NEUDC), Development Economics Center, Williams College, Oct. 15-16, 1993, presented a paper

Conference on Africa in a Changing World Economy, Howard University, Sept., 1992, presented a paper

World Bank Symposium on the International Experience with Employee Ownership, Washington, DC, April 13, 1992, presented a paper

Annual Conference of the Profit Sharing Council of America, San Antonio, TX, Oct. 17-19, 1991, served as keynote speaker

Sixth International IAFEP Conference, Cornell University, Ithaca, NY, August 8-11, 1991, presented two papers and served as a session chair

Allied Social Science Association (ASSA) /CES annual meetings, Wash., DC, Dec. 28-30, 1990, presented a paper

"First Conference of the European Association for Comparative Economic Studies," Verona, Italy, Sept. 28-30, 1990, presented a paper

"Conference on Employee Participation in Company Profits," sponsored by the European Commission, and hosted at the European University Institute, Florence, Italy, April 1990, presented a paper

"Symposium on European Community Institutions and NATO," sponsored by the Fulbright Commission, Luxembourg and Brussels, February 11-18, 1990, invited participant

South European Economic Theory Association, Annual Conference, European University Institute, Florence, Italy, October 1989, presented a paper

Italian Association for the Study of Comparative Economic Systems, Annual Conference, October 1989, Urbino, Italy, presented a paper and served as a discussant

"Global Imbalances: Alternative Perspectives on the International Economy Conference," American Univ., May 26-28, 1989, presented a paper

Northeast Universities Development Consortium Conference (NEUDC), Development Economics Center, Williams College, April 21-22, 1989, presented a paper

"International Conference on Profits and Instability," Jerome Levy Economics Institute, Bard College, Annandale-On-Hudson, March 17-19, 1989, presented a paper and served as a discussant

ASSA/ Association for Comparative Economic Studies, New York, December 1988, presented a paper

Fifth International IAFEP Conference, Vienna, Austria, July 1988, presented a paper and served as a session chairman

"Symposium on Self-Management and Participatory Decision Making," University of Aalborg, Denmark, November 1987, presented a paper

"Symposium on Chinese Collective Industry," World Bank, Washington, November 1986, presented a paper

"Symposium of Profit Sharing, Worker Ownership and Participation," Cornell University, April 1986, presented two papers

Fourth International IAFEP Conference, Universite de Liege au Sart-Tilman, Belgium, July 1985, presented two papers

"Colloquium on the Labor-Managed Economy," Yale University, May 1985, served as discussant

"Hawkins Symposium on Multinational Corporations and their Host Countries," Towson State University, April 1984, presented a paper

"Conference on Labor Managed Firms," European University Institute, Florence, Italy, March 1984, presented a paper

Third International Association for the Economics of Participation (IAFEP) Conference, Mexico City, Mexico, August 1982, presented a paper

Fellowships, Grants, Affiliations, and Special Appointments:

UNICEF Senior Fellow, UNICEF Office of Research - Innocenti, Florence, Italy, Spring 2018: <https://www.unicef-irc.org/experts/9977>

Principal Investigator, "Complementarities of Training, Technology, and Credit in Smallholder Agriculture: Impact, Sustainability, and Policy for Scaling-up in Senegal and Uganda," 2013 - 2018, \$728,000, USAID-sponsored BASIS Assets & Market Access Collaborative Research Support Program Grant

Director, Institute for International Economic Policy, The George Washington University, 2009-2012 and 2015-2017

Appointed to the Fulbright Senior Specialists Roster, for five-year period beginning April 2016, location pending

Visitor, Institute of Labor Economics (IZA), Bonn, Germany, June 2017

Member, Editorial Advisory Board, *Journal of Participation and Employee Ownership*, from May 2017

Member, Editorial Board, *Annals of Public and Cooperative Economics*, from March 2016 (a European scholarly journal founded in 1908)

Shapiro Policy Research Fellow (GWIPP), research on "Policy Analysis of Alternative Financing Strategies in Response to Climate Change," 2015-2016

Nonresident Senior Fellow, The Brookings Institution, July 2013 – January 2016  
Web: <https://www.brookings.edu/experts/stephen-c-smith/>

Visiting Fellow, The Brookings Institution, January 2013 – June 2013

Co-PI, Hewlett Foundation grant, totaling \$245,268, "Post-2015 Development Goals and Women's Economic Empowerment," 2013 – 2015, with James Foster - PI

Fulbright Senior Specialist Grant, Kurukshetra University, Haryana, India, September – November 2012

Co-PI, with Michael Moore, Global Economic Governance in the 21<sup>st</sup> Century, Research Enhancement Fund Competition awarded funding, July 1, 2012 through June 30, 2014

IZA (Institute of Labor Economics) Research Fellow, May 2012 – present  
Web: [http://www.iza.org/en/webcontent/personnel/photos/index\\_html?key=8104](http://www.iza.org/en/webcontent/personnel/photos/index_html?key=8104)

Co-PI, with Nick Vonortas, Economics of Climate Change Adaptation in Low-Income Countries, Research Enhancement Fund Competition awarded funding, July 1, 2010 through June 30, 2012

Co-PI, with James Foster, Ultra-poverty, Research Enhancement Fund Competition awarded funding, July 1, 2010 through June 30, 2012

Associate Editor, *Journal of Economic Behavior and Organization*, 2007 – 2013

Member, Advisory Board, Institute for Poverty Alleviation and International Development, and *Journal of Poverty Alleviation and International Development*, 2009 – 2018

Member, Advisory Council, BRAC USA, 2007 – present:  
<http://www.brac.net/content/about-brac-usa#.U522eY1dV8s>  
BRAC is rated as the world's #1 NGO: <http://theglobaljournal.net/top100NGOs/>

Board of Trustees Special Payout Grants, recipient through the Elliott School to IIEP, for research on climate change adaptation in low-income countries, and for a conference on international finance, 2009

"Higher Education for Development in Bangladesh (HEDIB): A Partnership between BRAC University & George Washington University," 2004-2008, co-Principal Investigator (with Jim Williams), Department of State's FY2004 Educational Partnerships Program (ECA/A/S/U-04-03), Washington DC and Bangladesh, \$149,300

George Washington Institute for Public Policy (GWIPP) Policy Research Scholar Award, 2004-2006

Sloan Foundation Support for research on NGOs in Africa, through the Institute for International Corporate Governance and Accountability (IICGA) Africa Project (George Washington University Law School), 2003

Title VI in-country travel grants, for research in East Africa and Bangladesh, funded through National Resource Centers program/Elliott School of International Affairs, GWU, from United States Department of Education, 2003

North American Editor, *Economic Analysis*, 1997-2000

Co-investigator, United States Agency for International Development (USAID) grant for an evaluation of Project Hope's "Health Banks" program in Ecuador and Honduras, cooperative agreement FAO-0158-00-2071-00, 1994-1998

International Research and Exchanges Board (IREX), Senior Scholar Travel Grant, Slovenia, June 1994

Research/Travel grant from Economic Policy Institute, to study relationship between German works councils and codetermination, and job training, Dec. 1992

Banneker Faculty Research Grant, summer 1991

Fulbright Research Scholarship (seven months), Italy, 1989 - 1990

Jean Monnet Research Fellow, European University Institute (the Ph.D. granting institution of the European Union), Florence, Italy, ten months, 1989 - 1990

Dilthey Faculty Fellowship, Summer 1989

Member, American Economic Association, current

Member, Executive Council, International Association for the Economics of Participation (IAFEP), 1988-2002

Recipient, GWU Committee on Research Special Research Grant, Fall 1988

Recipient, George Washington University Columbian College Junior Scholar research award, summer 1987, GWU

Visiting Scholar, National Institute for Public Finance and Policy (NIPFP), New Delhi, December 1986-January 1987

Winner, 1986 Association for Comparative Economic Studies Paper Competition

Recipient, George Washington University Columbian College Junior Scholar research award, summer 1986

Visiting Assistant Professor of Economics, European University Institute, Florence (a research fellowship), summer 1984

Graduate Research and Teaching Fellowships, Cornell University, 1978-1983

Courses Taught:

Development Economics I: Ph.D. level (at George Washington)

Development Economics II: Ph.D. level (at George Washington)

Economic Development Doctoral Seminar (at George Washington)

Survey of Economic Development, MA level (at George Washington)

International Development Studies Capstone; and Pre-Capstone courses (at George Washington)

Economics of Poverty Alleviation (at George Washington)

Dean's Seminar: Global Poverty-Causes and Remedies (at George Washington)

Economic Development; undergraduate level (at George Washington)

Writing in the Disciplines (WID): Economic Development; undergraduate level (at George Washington)

Survey of Microeconomics (graduate, at George Washington)

International Economics II (International Finance); undergraduate (at George Washington)

Intermediate Microeconomics with Calculus (undergraduate level, at George Washington and Cornell universities)

Economic Development, at Foreign Service Institute (State Dept.)

Economic Development, for Institute of Brazilian Issues

Multidisciplinary Development Seminar, a professional course for International Development Studies master's degree (at George Washington)

Economic Effects of Labor Management and Profit Sharing, graduate level (at Cornell University)

Transnational Firms and the World Economy (graduate, at Johns Hopkins School of Advanced International Studies)

Principles of Microeconomics (at George Washington and Cornell)

## Journal Editorships and Board Memberships

Member, Editorial Advisory Board, *Journal of Participation and Employee Ownership*, from 2017

Member, Editorial Board, *Annals of Public and Cooperative Economics*, 2016 to present (APCE is a European journal founded in 1908)

Member, Advisory Board, *Asian Development Perspectives* (ADP was formerly titled the *Journal of Poverty Alleviation and International Development (JPAID)*), 2009 – present

Associate Editor, *Journal of Economic Behavior and Organization*, 2007 – 2013

Co-editor, special issue of *Climate Change Economics*, with Arun Malik, May 2012

North American Editor, *Economic Analysis*, 1997-2000

## Interviewed and quoted in media outlets including:

National Public Radio (NPR)

Associated Press

Washington Post

Financial Times

Christian Science Monitor

The Rotarian

XM Satellite Radio

Voice of America, radio and TV

Fox News

MTV News

Al Jazeera English

BNA Daily Report for Executives

USAID Agri-links

Heifer World Ark



## University Administrative Assignments and Service

### Affiliated Faculty Positions:

Center for Economic Research:

<https://economics.columbian.gwu.edu/research>

Institute for International Economic Policy:

<https://www2.gwu.edu/~iiep/about/faculty/>

Sustainability Collaborative:

<https://sustainability.gwu.edu/faculty-profiles>

Global Women's Institute:

<https://globalwomensinstitute.gwu.edu/stephen-c-smith>

GWU Cross Disciplinary Fellow

Sigur Center for Asian Studies:

<http://www2.gwu.edu/~sigur/about/faculty.cfm#S>

Food Institute:

<http://foodinstitute.gwu.edu/about/faculty-affiliates/>

### Administrative Assignments

Director, Institute for International Economic Policy, July 1, 2009 – June 30, 2012; and Sept. 1, 2015 – June 30, 2017; website: <http://www.gwu.edu/~iiep/>

Co-Director/ Co-PI (with James Williams), GWU-BRAC University (Dhaka) exchange program (funded by U.S. Department of State), 2004 - 2009

Director, International Development Studies Program (IDS) master's program, 1992-1996, Elliott School of International Affairs

Director, Research Program in Poverty, Development, and Globalization (RPPDG), Center for Economic Research, 2000 –2009, and 2013 – 2015:

<http://www.gwu.edu/~cer1/Development%20Program.htm>

## Search Committees

Member, Search Committee for Carr Professor in International Development and Poverty, 2013-2014; concluded with successful recruitment

Member, GW Sustainability Institute Director Search Committee, 2011-2014; concluded with successful recruitment

Member, University Professor Search Committee, 2009 – 2010, concluded with successful recruitment

Chair, Senior Elliott School Development Economist Recruiting Committee, 2007-2009, concluded with successful recruitment

Member, Search Committee, Dean of the Elliott School of International Affairs, 1993-94, concluded with successful recruitment of Harry Harding

Member, Development Economics Search Committee, 2010-2012, concluded with successful recruitment

Chair, Recruiting Committee, Development Economics, 2001-2002, concluded with successful recruitment

Chair, Recruiting Committee, Development Economics, 1993-94, concluded with successful recruitment

Member, GW Graduate School of Education and Human Development faculty search, 1997; concluded with successful recruitment

Member, GW Search for International Development Studies Program (IDS) director, 1996; concluded with successful recruitment

Member, GW School of Public Health faculty search, 1995; concluded with successful recruitment

Chair, Recruiting Committee, Development Anthropology, 1992-93; concluded with successful recruitment

### Other Economics Department Service

Co-coordinator, Economics Department Trade and Development Workshop, 2013 – 2017

Faculty Co-coordinator, “Development Tea,” GWU, 2013 – present

Member, Economics Department Ph.D. Committee (Unit I) and Economics Ph.D. Admissions Committee, 2013 - present

Reading committee member, for promotion to full professor, 2016

Reading committee member, for tenure and promotion to associate professor, 2015

Member, Economics Department Computer Committee, Feb. 2011 -

Chair, Economics Department Ph.D. Committee (Unit I) and Economics Ph.D. Admissions Committee, 2006-2008

Member, Economics Dept. Strategic Planning Special Committee, 1997-98

Chair, Economics Dept. Seminar Committee, 1984-89, 1990-96, 1997-2000

Chair, Economics Department Trade and Development Workshop, various years from 2001 – 2009

Member, Economics BA Committee and BA Advisor, 1987-89, 1990-93

Member, Economics MA Committee and MA Advisor, 1986-89; 1993-96, 1997-2006

Several Economics Department faculty tenure and promotion reading committees (chair or member)

Member, Economics Department Curriculum Committee

Member, Economics Department Computer Committee

Member, Economics Department Special Committee on Teaching Loads

Chair, Economics Department Library Committee

Member, Economics Department Principles and Graduate Teaching Assistants Committee

### Other University Service

Member, Elliott School of International Affairs Appointments, Promotion and Tenure committee, 1997-2002; and 2014 to 2016

Member, Columbian College International Partnerships Committee, 2014 - 2015

Focal Area Faculty Coordinator, GW Center for International Business Education and Research (CIBER), 2005-2014, <http://www.business.gwu.edu/CIBER/home.cfm>

Member, Climate Action Plan – Curriculum and Research Implementation Team; developed plan for academic dimension of GW’s Climate Action Plan (online), 2009 – 2010

Member, Technical Advisory Committee, GW Africa Center for Health and Human Security, 2005-2010

Co-Coordinator, GW International Nongovernmental Organization Team (INGOT), 2002-2007

Member, International Development Studies Advisory Committee, 1996-2003

Member, Advisory Board, George Washington University Center for Latin American Issues

Member, ESIA Honors, Awards and Graduation Committee, 1986-87

Member, ESIA Ad Hoc Committee on Present and Future Non-Tenured Appointments

Member, Columbian College Student Faculty Advisory Committee, 1986-89

Member, Faculty Senate, 1991-92

Faculty Co-chair, Joint Committee of Faculty and Students, 1991-92

Member, Elliott School of International Affairs Deans Council, 1990-92

Served as Coordinator, 5-College IDS Development Committee (designed International Development Studies Program), 1990-92